

ARRCU Strategic Plan Focus Paper: Academic-Government Partnerships. 2017-01-13

1 **ARRCU Strategic Plan Focus Paper: Academic-Government Partnerships in**
 2 **Atmosphere-Related Research**

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6

7 **Abstract**

8 **Introduction**

9 This focus paper on academic-government partnership in atmosphere-related research
 10 (ARR) represents part of the strategic plan of the working group on Atmosphere-Related

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11 Research in Canadian Universities (ARRCU).¹ The overarching purpose of this strategic
12 plan is *to configure university-based ARR to most benefit Canada in a time of rapid*
13 *environmental and socio-economic change*. The specific aims of the strategic plan are:
14 • To build university-based research capacity and excellence;
15 • To make university partnerships with government and industry more effective;
16 • To improve the sustainability of fundamental research support in ARR; and
17 • To enhance our community's efforts in education, training, and engagement with the
18 broader Canadian public.

19
20 This focus paper will be the first of a series that will also cover academic-industry
21 partnerships as well as education/training and related topics. This strategic planning effort
22 will take place in 2017-2018, will produce a single document covering this series of topics,
23 and is intended to be relevant to our activities over a *strategic planning horizon* of five to
24 seven years.

25 The co-authors of this focus paper are University and federal government colleagues
26 working in ARR who believe it is important to increase the effectiveness of our
27 partnerships through long-range planning.² While universities are already engaged with
28 government in many aspects of Canadian ARR, strategic planning of this nature will
29 increase the effectiveness of our partnership and will remove barriers between our
30 research efforts. This paper is intended to communicate with our research colleagues
31 across all sectors, our university leadership, and decision makers.

32 Academic-government collaborations occur in many areas of ARR: development, validation,
33 and use of observational and computing infrastructure; research involving field campaigns,
34 modeling and data analysis; and education, training, internships, and professional
35 development. In this paper, we will first address the general framework of Academic-
36 government research partnerships (see next section), including research priorities
37 identified by government agencies and mechanisms for the support of these priorities. The
38 subsequent sections will discuss research infrastructure, international partnerships, and
39 academic-government collaboration in education and training. Then, we will consider

¹ ARRCU comprises Canadian university faculty working in weather, climate, and air quality under the general framework of atmosphere-related research (ARR). For an introduction to ARRCU, please see Kushner et al. (October 2016, *CMOS Bulletin*), and for a more in-depth discussion see our White Paper posted on the website www.ARRCU.ca.

² The co-authors of this document comprise the ARRCU Academic-Government Partnerships Committee, which was struck by the ARRCU Working Group Committee in September 2016. Our focus for this round of planning is exclusively in the domain of partnerships between universities and federal government agencies, where a history of collaboration exists and where university networks that cross provincial boundaries can be developed.

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40 community organization to enhance partnership, including a proposal for a joint academic-
41 government advisory body [tentatively named the *Panel for ARR in Canada* (PARRC)], and
42 efforts to organize information about academic capacity in Canadian ARR. We will
43 conclude with a summary of recommendations and next steps. Additional information
44 relevant to our planning process are included in the Appendixes. Many of the details of
45 planning, including mechanisms for partnerships, infrastructure, international programs,
46 and education/training fall outside the scope of this focus paper. Our proposal to set up
47 PARRC will provide a mechanism to continue our planning on an ongoing basis. We will
48 conclude with a discussion of next steps and recommendations.

49 This paper summarizes input from consultation with the Canadian ARR community that
50 has included workshops, video conferences, and extensive email communication (see the
51 ARRCU.ca website for documents³). As was the case for the White Paper, general
52 agreement by the academic community about the perspective set forth here will be
53 demonstrated by consent of individual university faculty to be signatories to it. It is
54 understood that coauthors from government agencies [currently Environment and Climate
55 Change Canada (ECCC), the Natural Science and Engineering Research Council (NSERC),
56 and Canadian Space Agency (CSA)] represent the institutional view of their agencies.⁴
57 Viewpoints expressed here are advisory and not binding; they do not commit coauthors,
58 institutions, or signatories to any specific action.

59 **Academic-Government Research Partnerships**

60 *Priority areas for academic-government partnership*

61 The mandates of several federal government agencies require input from ARR taking place
62 in universities and industry in addition to the ARR taking place within the government
63 itself. These agencies include, for example, ECCC, the Department of Fisheries and Oceans
64 (DFO), Natural Resources Canada (NRCan), Agriculture Canada (AgCan), Department of
65 Defense (DoD), and the National Research Council (NRC).⁵ In this section we outline the
66 range of ARR priorities of interest to the GoC. This outline (see Figure 1) is not intended to
67 be comprehensive, but representative of some of the important priorities outlined by the
68 parties to this document, and we welcome ideas to extend the list. The Canadian university

³ Ideas specific to this focus paper were developed through a webinar in November 2016 where the document outline was laid out, a face-to-face workshop discussing a first draft of the document in January 2017, and follow on communications.

⁴ Note for January 2017 draft: we will seek additional endorsements or expressions of interest from other federal agencies.

⁵ Other agencies like NSERC and CSA serve to support research across government and academia, even if they do not define strategic research priorities themselves. The mandates of such agencies also extend to the areas of industry partnership and education/training, which will be the subject of subsequent focus papers.

69 community is already actively engaged in many of these areas, or has the immediate
70 capacity to undertake research in them. These areas were identified in the ARRCU White
71 Paper's *Priority Areas for Partnership*⁶. Opportunities will arise that require additional
72 investment and coordination to be realized. These new priorities could include emerging
73 areas of applied or policy concern or significant technological advance anticipated for the
74 strategic planning horizon.

75 Key applied priorities in which ARR is required that will be undertaken by the Government
76 of Canada (GoC) over the planning horizon are listed in the blue shaded row of Figure 1.
77 They priorities cover areas of predictions and warnings, regulatory reporting, climate
78 sensitive infrastructure, climate resilience, and disaster-risk reduction. The scientific ARR
79 required to support these priorities is listed in the green shaded row in the figure. As in the
80 ARRCU White Paper (see Figure 1 of that document), we emphasize the two-way
81 connection between fundamental and applied research (*Discovery to Application* and
82 *Application to Discovery*).

83 GoC activities take place within national and international regulatory frameworks, and in
84 particular its science activities are coordinated with international programs where
85 practicable. These aspects will be discussed further in the *International Connections*
86 section.

87 *Mechanisms: Research partnership programs*

88 In order for academic-government research partnerships to be effective, strategic planning
89 needs to take place in the areas of structuring, communication, and evaluation of research
90 support programs and their impact. Details of such planning are beyond the scope of this
91 paper, so we here confine ourselves to addressing some key points. Our proposed PARRC
92 will provide a mechanism to shape partnership programs as opportunities arise.

93 In Canada, ARR partnerships between government and universities range from smaller
94 projects led by individual or small teams of investigators, with typical budgets of less than
95 \$100K/year, to large networks with budgets in the range of \$1M/year or more. These
96 projects are supplemented by research infrastructure funded either directly by the project
97 or available as in-kind support through a larger facility (e.g. aircraft or ship time, satellite
98 data, computing or modelling resources). The smaller projects are funded through various
99 mechanisms, such as the NSERC Strategic Projects, Collaborative Research and
100 Development Grants, and the Grants and Contributions programs of individual federal

⁶ The ARRCU White Paper's *Priority Areas for Partnership* with universities are: *Prediction, Arctic and Cold Regions, Regional Climate and Hydroclimate, Air Quality, Atmosphere-related biogeochemical cycles, and Applied Research*. The last category is a catch-all for product and service development activities carried out within universities, for partnership with government and industry.

101 agencies. The larger projects are typically, although not always, administered by NSERC but
102 supported by contributions from one or more federal agencies. Space-based observational
103 infrastructure projects are typically supported by the CSA. In Appendix A, we provide a
104 review of currently available funding mechanisms in ARR.

105 Small-project and large-project funding provide distinctive advantages and challenges.
106 Smaller projects (e.g. NSERC Strategic Projects) provide fast turnaround on specific
107 research questions that can lay the groundwork for longer-term scientific advance. They
108 are suitable for higher risk exploration for which the outcomes are more uncertain. Their
109 cumulative impact is perhaps somewhat harder to measure than is the case for large
110 network-type projects. Larger projects and networks [e.g. NSERC Climate Change and
111 Atmospheric Research (CCAR) Networks] allow for comprehensive investigations on
112 broader research or applied areas, or ongoing development of technological capacity. They
113 can be challenging for university faculty to administer and tend to concentrate a large
114 fraction of research resources over long periods of time.

115 One current example of large network-based partnership is the Climate Change and
116 Atmospheric Research program (CCAR) of NSERC, which funded seven networks at the
117 \$1M/y level for 2013-2018. The CCAR program called for partnership between university
118 researchers and federal government departments in areas of 1) understanding Earth
119 system processes and their representation in weather, climate, and atmospheric chemistry
120 models, 2) advancing weather, climate, and environmental prediction, and 3)
121 understanding recent changes in the Arctic and cold region environments. The results of a
122 midterm review of the CCAR program suggests that its partnership model has been
123 successful in advancing GoC priorities.⁷ As a result the program is thus under consideration
124 for renewal with a proposed announcement of opportunity in 2017. Other networks ...⁸

125

⁷ If the conclusions of the CCAR review are available we can include them in appendix material.

⁸ We could insert here a discussion of NCE programs (ArcticNet, MEOPAR, MITACS) or other partnership programs, or leave this for appendixes.

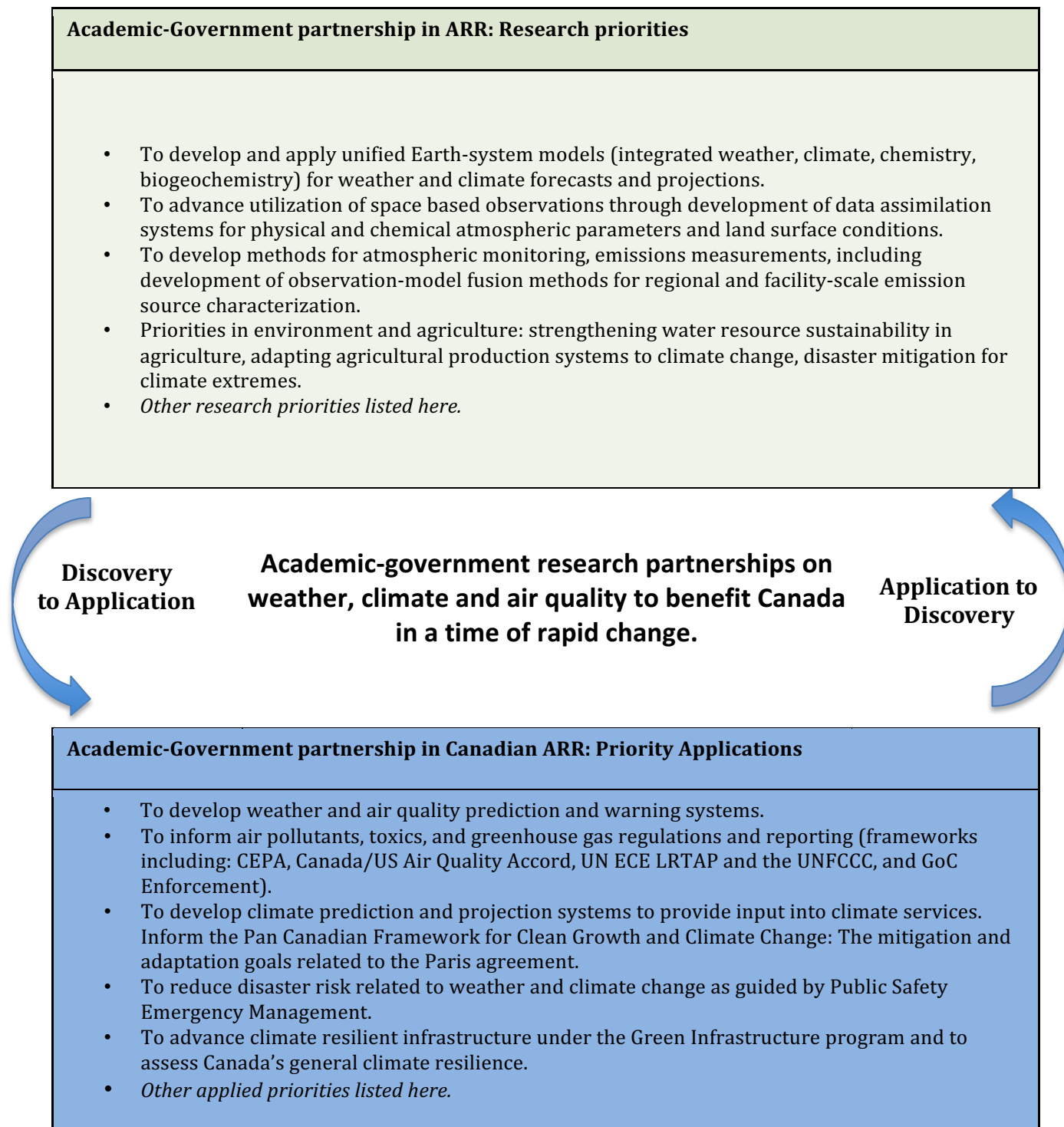


Figure 1: Research Priorities and Priority Applications for Government-Academic Partnerships over the Strategic Planning Horizon

129 We will undertake to quantify the current range of project partnership funding in our
130 survey (see *Quantifying Capacity* section). Our consultations suggest that a suitable balance
131 of partnership opportunities that encompass small projects and larger networks would
132 best serve the overarching goal of configuring ARR to most benefit Canada. While there
133 continues to be a range of research opportunities available, the ARRCU community has
134 nevertheless noticed a decrease in the availability of small-project partnership support
135 over the last 10-15 years. We thus encourage the support of programs that seed smaller
136 project opportunities in areas that align with GoC priorities.

137 In addition, effectiveness of academic-government partnerships in ARR could be increased
138 by ensuring that as much funding as possible is made available through open competitive
139 proposal processes open to the entire academic community as potential partners.

140 To enhance international competitiveness of Canadian ARR, we recommend ensuring that
141 partnership calls are well coordinated with international programs and available on similar
142 timelines to these programs. A past example of a limited window opportunity, for example,
143 is the range of activities leading up to the Year of Polar Prediction (2017-2019). This theme
144 will be further explored in the *International Connections* section.

145 Finally, our consultations revealed support for reviewing how the current structure and
146 regulations of Federal Tri-Council funding mechanisms impact the efficacy of academic-
147 government partnerships. For example, it would be useful to review the impact of the two-
148 year limitation on the length of postdoctoral fellowships, rules about the location of tenure
149 of HQP being outside government labs given the context of academic-government
150 partnership funding, and the rules for support of research costs related to management and
151 administration of networks.

152 Overall, we encourage increased engagement by both the academic and government research
153 community in the design and delivery of partnership programs, such as CCAR and the NSERC
154 Strategic Projects. It is important that such planning involve those who are directly
155 involved in the research as well as those who make use of its outcomes. Greater
156 engagement by both sides of the partnerships at the design stage will improve everyone's
157 understanding of and commitment to these programs. This, we believe, will enhance the
158 effectiveness and impact of the programs, make them more predictable and consistent, and
159 make them more transparent for purposes of accountability and evaluation.

160 **Key recommendations in the area of partnership priorities and programs:**

- 161 • Recommendation 1
- 162 • Recommendation 2

163 **Partnership in Research Infrastructure**

164 To carry out partnership research within government-academic ARR, strategic planning of
165 partnerships in research infrastructure is required. Such partnerships can include joint

166 applications to obtain the resources needed to develop and operate the infrastructure,
 167 collaboration in using the infrastructure to carry out cutting edge ARR science, and
 168 partnerships for maintenance and ever-greening of these facilities and associated
 169 resources. The operational lifetime of ARR infrastructure often exceeds that of individual
 170 projects (3-5 years) and thus requires a longer planning horizon (5-10 years). The key
 171 issue is how to make most effective use of limited resources for infrastructure in ARR to
 172 address shared goals.

173 ARR is a highly interdisciplinary endeavour that rapidly responds to technological
 174 development. Thus, the infrastructure needs of ARR are wide ranging. A list of existing
 175 infrastructure categories in which partnership will help advanced our shared goals is
 176 presented in Table 1, with more details provided in Appendix B.

177 Table 1: Infrastructure Categories and Examples for Academic-Government Partnerships in
 178 Canadian ARR (more details in Appendix B).⁹

Infrastructure Type	Examples
Space-based infrastructure for global remote sensing of atmospheric composition and dynamics for process studies, assimilation in models and monitoring trends.	ACE-FTS spectrometer on SCISAT (2003), cloud radar on Cloudsat (2006), radiometer on SMAP (2015), wind lidar on ADM-Aeolus (2017), interferometric radar on SWOT (2021)
Ground-based infrastructure, including unique field stations and distributed observing networks (with consistent sensors).	CCAR CCRN, PEARL/PAHA, CHARS, ...
Field campaign infrastructure (instruments, airborne platforms, ships, moorings) – includes deployment platforms and equipment to be deployed. Such equipment will include both equipment deployed for an extended period in the field (e.g. moorings) as well as equipment mounted just for the experiment.	NETCARE, ... CSA-CNES stratospheric balloon program
Advanced research computing and other information technology resources, covering hardware, software, and datasets. Hardware includes CPU and mass stores. Software includes models (Earth system models, NWP models, chemical transport and air-quality models), retrieval workflows, analysis software for large complex data sets (e.g. climate, satellite, remote sensing).	Compute Canada resources (SciNet, SHARCNET, WestGrid, etc.), ECCC/DFO/DoD/university models, climate data records from ECCC and NRCAN, etc.

⁹ This table can be extended, as long as it doesn't exceed about a page. Remaining details can go into Appendix B.

179

180 For larger facilities, it is likely the government partners who will operate (or pay to
181 operate) the given infrastructure. But an academic partnership can provide the impetus to
182 support the facility development, and/or provide funds for operation, especially during the
183 lifetime of key projects. In many cases, it may be that one partner provides in-kind
184 contributions while the other provides actual cash, and/or personnel. Examples of
185 successful partnerships of this nature include: [... here we could insert a list including
186 CANDAC/PAHA, other CCAR programs like NETCARE, GEOTRACES, VITALS, CNRCWP,
187 CanSISE, Compute Canada facilities that are sometimes supplemented by local researchers,
188 etc.]. These examples show that coordinating with the academic community greatly
189 increases the value of the original infrastructure investment.

190 Generally speaking, broad community use of infrastructure, ranging from satellite data
191 streams to computing resources, models, etc., is the increasing norm today. This is
192 especially the case in open-source modelling and open access data archives. This has the
193 advantage of a large community working to access infrastructure, data, develop and
194 improve codes. This trend opens the door to significant partnerships, where the academic
195 community works on testing and exploring new approaches that can be used in operational
196 settings. This also facilitates training and the ability for ARR HQP to transition between
197 academia and government. Finally, it ensures that the broadest possible group within and
198 outside Canada can exploit new resources. Supporting a large community provides a real
199 challenge to government researchers but is an area where academic partners can assist in a
200 liason and support role. Such a role aligns well with the universities' mandate in education
201 and training (see below).

202 As research priorities for academic-government partnership are developed, we hope to
203 identify major infrastructure priorities for the ARR community that can feed into programs
204 and facility requests to agencies such as the Canadian Foundation for Innovation (CFI).

205 **Key recommendations in the area of partnership in research infrastructure:**

- 206 • Recommendation 1
- 207 • Recommendation 2

208

209 **International Connections**

210 Extending the value federal departments obtain through effective academic-government
211 collaborations within Canada, there are opportunities for us to better coordinate our
212 research at an international level. Canada brings scientific and technical strengths to
213 several areas (modeling, prediction, Arctic and cold regions, cryosphere, aquatic
214 environments, etc.) that have connections to global atmosphere-related processes.
215 Weather, climate and air quality are global phenomena, so to effectively advance

216 atmospheric science requires access and contributions to global observing networks and
 217 satellite infrastructure. Canada also contributes significant global data to the international
 218 ARR community. For example, the Canadian space-based instruments ACE-FTS, OSIRIS and
 219 MOPITT generate important atmospheric composition data that is used by hundreds of
 220 scientists around the world, often in the context of WCRP/SPARC and WMO-UNEP
 221 activities. Canada is a participating member of the European Space Agency (ESA) and,
 222 through the CSA, makes significant investments in ESA's Earth Observation programs. This
 223 enables Canadian industry and researchers to participate in numerous competed activities
 224 related to the development, validation and use of data from ESA space-based instruments.

225 Canadian expertise, multi-decadal observational datasets, field campaign coordination and
 226 support capacity, and strong experience in model development and evaluation, are
 227 contributed to the international community. In return, Canada can leverage the resources
 228 of the international community through international engagement and collaboration.

229 Thus, the value of strong international engagement by Canadian atmospheric scientists is
 230 three fold. 1) Canada can leverage the large international capacity to advance key science of
 231 specific interest to Canada. 2) Canada can maintain scientific expertise and infrastructure
 232 at the leading edge, by remaining competitive with the highest excellence in ARR globally.
 233 This enables us to inform Canadian atmospheric policy, regulations and planning with the
 234 highest calibre and state of art knowledge. 3) As no one Canadian institution or
 235 community can address the diverse expertise in ARR needed to address federal priorities,
 236 we can reach outside of Canada to access globally available expertise to meet these needs.

237 There is a wide range of international ARR programs and sponsors (see Appendix C), many
 238 of which now are strongly linked and coordinated, and present opportunities for Canadian
 239 scientists:

- 240 • The multi-lateral UN based collaborative research programs coordinated by WMO,
 241 UNEP, and UNESCO which include WWRP, GAW...
- 242 • The ICSU Future Earth program, and the international Commission on Atmospheric
 243 Chemistry and Global Pollution (iCACGP). IGAC jointly sponsored by both.
- 244 • The WCRP sponsored by WMO, UNESCO, IOC and ICSU. Canadian space-based
 245 atmospheric composition data is of particular importance for Stratosphere-troposphere
 246 Processes And their Role in Climate (SPARC).
- 247 • Collaborative research programs inviting international participants, particularly in
 248 Earth observations (ESA Earth Explorer satellites, NASA AboVE)
- 249 • iLEAPS — Integrated Land Ecosystem-Atmosphere Processes Study and SOLAS —
 250 Surface Ocean-Lower Atmosphere Study which are Global Research Projects of Future
 251 Earth
- 252 • The national government sponsored collaborative research opportunities: EU Horizon
 253 2020, NA CEC, NA IAI, etc.

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- 254 • The science assessment programs of UNEP, WMO, Arctic Council and IPCC (e.g.
255 Quadrennial Ozone assessment reports for the Montreal Protocol on Substances that
256 Deplete the Ozone Layer).

257 Challenges in accessing these opportunities lie in simply being aware of them, in
258 understanding the complexity of international relationships and structures in which the
259 projects operate, obtaining base funding to enable participation or join as a partner, and in
260 demonstrating the value back to GoC departments or granting councils who would be
261 asked to support or facilitate Canadian engagement.

262 Attention to and coordination of Canadian engagement in the context of academic-
263 government partnerships will contribute to federal government development of knowledge
264 and tools to inform weather, climate and air quality policy, regulations and services. This
265 includes enhanced opportunities:

- 266 • for field campaigns or intensive studies, located in Canada with increased Canadian
267 participation in internationally coordinated programs. This would also further exploit
268 and utilize existing Canadian observational networks and infrastructure.
- 269 • to advance atmospheric processes understanding and parameterization
- 270 • to integrate Canadian observational activities within international programs, giving
271 Canada access to global surface and satellite data, and ensuring optimal use of
272 observations made with Canadian infrastructure.
- 273 • to apply and evaluate Canadian models over Canadian and global domains, and
- 274 • to facilitate financial support for both government and academic participants, including
275 uptake of new knowledge in federal programs.

276 Thus, we have identified the need for mechanisms to improve communication of
277 opportunities, coordination and funding of ARR in Canada in the international context.
278 Federal science based departments, the granting councils and National Research Council all
279 have a role to play which would be facilitated by the proposed PARRC.

280 **Key recommendations in the area of international connections:**

- 281 • Recommendation 1
- 282 • Recommendation 2

283

284 **Education and Training**

285 Universities have the unique mandate of being responsible for academic education and
286 thus contributing to the development of trained and skilled professionals needed by
287 governmental departments. In one sense this can be viewed as a feeder system, with
288 students getting their foundational learning in K-12 schools, and then going onto university
289 and/or college that will provide the people to populate government operations, industry

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290 and research. Given the importance of this system in providing the skilled people needed to
291 satisfy governmental needs, strategic planning is needed to identify strengths, gaps and
292 needed coordination. Because this aspect of planning also requires input from industry,
293 and extends well beyond issues directly related to government partnerships, we will
294 develop a separate focus paper on this topic. But we make several points related to
295 academic-government partnership issues here.

296 We believe that it is important to increase exposure to atmosphere-related science
297 (including the physics, chemistry, and biogeochemistry of the Earth system and its
298 components) in the present-day school curriculum. This exposure will encourage students
299 to consider further education and career in our fields, in a way that will allow them to
300 productively develop their math, science, and computer programming abilities through
301 creative hands-on research. Such exposure can happen if ARRCU raises awareness in
302 school boards of the professional possibilities that a strong training in ARR can provide
303 students in the work force in academia, government, and industry.

304 We see a need to bring better information to undergraduate education programs about the
305 needs and opportunities in government-based research, on the same planning horizon as
306 our research partnerships. Universities need to keep current on what is needed by working
307 atmospheric and related science professionals within government so that students
308 graduate with the skills qualifying them for work within government agencies. We also see
309 an opportunity for academics and government researchers to communicate their views on
310 the trends in training requirements.

311 Regarding training at the postgraduate and post-doctoral level, once we distil our priorities
312 it will be more straightforward for university faculty members to share and teach towards
313 these areas of need within government departments on the strategic planning horizon time
314 frame. For students interested in applied areas, this will ensure that their research is
315 relevant to current and medium-term needs. For students with an interest in more
316 fundamental research, this awareness will allow them to efficiently plan their future
317 professional work as necessary. We recommend enhancing the scope and opportunities for
318 HQP training using various grants and contributions mechanisms in government
319 laboratories. Additional ways to provide and facilitate internships and extended research
320 stays in governmental labs would be beneficial. Such visits by university-based HQP would
321 give them an understanding of the governmental work environment as well as facilitating
322 direct interactions and building networks. We also see a need to make sure that
323 organizations like MITACS, which focus on internships with industry, also ensure that
324 internships with federal (and provincial) government departments qualify for internship
325 funding. Finally, we recommend facilitating HQP training through providing government
326 scientists enhanced opportunities to serve as visiting scientists at academic institutions,
327 thus allowing significant exchange of ideas and expertise.

328 Along similar lines in the area of communication, many HQP could be made much more
329 aware of available research and job opportunities within government departments, by the

330 development of a common job market posting resource and by regularly holding jobs fairs
 331 and career interview sessions at CMOS, CSC, and CAP meetings. Developing job market
 332 knowledge while they are still in training would help guide students in decisions about
 333 obtaining training relevant to available positions over the long-term. Seeing the range of
 334 opportunities will be helpful for those in degree programs in professional areas like
 335 meteorology or for potential recruits to our field; it would, for example, provide alternative
 336 paths for employment in ARR, beyond ECCC's regular recruitment of forecasters. ARRCU
 337 has started an opportunities email list to publicize programs of study and research
 338 opportunities, and with moderate support this could be broadened into a more systematic
 339 postgraduate career program in ARR that would serve the needs of academics and
 340 government.

341 The key area for progress, therefore, is in the area of communication and coordination
 342 between university and government departments for long-range planning in education and
 343 training in ARR. We are aware of international examples (for example, the US University
 344 Corporation for Atmospheric Research's educational programs) that shows what can be
 345 gained by investing in reviewing the feeder system and its effectiveness.

346 **Key recommendations in the area of education and training:**

- 347 • Recommendation 1
- 348 • Recommendation 2

349

350 **Facilitating Academic-Government Consultation: The Canadian ARR Panel**

351 Across the areas of research partnership, infrastructure partnership, and
 352 education/training, we have identified the urgent need for the Canadian ARR community to
 353 enhance communication and coordination for academic and government participants in
 354 ARR. We propose to establish the *Panel for ARR in Canada* (PARRC), whose purpose would
 355 be to ensure that we meet our overarching objective of configuring academic-government
 356 partnership in ARR to most benefit Canada. We see the PARRC as a mechanism whereby, in
 357 areas of common interest to the Canadian ARR community, Canada sustains and
 358 strengthens its international leadership in atmospheric-related science, and hence in the
 359 ARRCU priority areas for partnership. The PARRC interests include also research
 360 infrastructure, education and training.

361 The specific objectives of the PARRC would be:

- 362 1. A *strategic planning objective* for research partnerships and priorities, to propose new
 363 research priorities to address atmospheric-related national issues and to be involved in
 364 pushing forward and coordinating those new initiatives in collaboration with NSERC,
 365 CFI and other funding agencies. This would satisfy the need identified above of

366 increased engagement of both academic and government researchers in the design and
367 delivery of strategic partnership programs.

368 2. An *operational objective* of enabling ongoing smooth collaboration between the
369 academic and government ARR communities by working to coordinate research
370 activities in collaboration with the NSERC, CFI and other funding agencies, and to
371 ensure effective participation in relevant new national and international research
372 programs.

373 3. An *international competitiveness objective* to ensure that the Canadian ARR community
374 has access to internationally competitive tools and infrastructure for maintaining an
375 appropriate national capability in observing, understanding, modelling and predicting
376 the atmosphere.

377 4. An *education/training objective* to promote the more effective pull through of research
378 to improve government services and training of relevant HQP.

379 The PARRC will allow the Canadian ARR community to speak with one voice on issues
380 around major joint research programs and infrastructure investments and will permit a
381 more unified approach to innovations arising from atmospheric-related research. The
382 PARRC should be composed of government senior scientists (director, chiefs and
383 scientists), university atmospheric department professors, possibly research or high level
384 administrative officers from one or two universities, and one or two representatives from
385 the NGO and industry sectors. The PARRC should aim at a balance between academia and
386 government membership. Scientists will be invited for specific discussions, especially for
387 program presentations or new initiative proposals within a recurring strategic planning
388 horizon. The PARRC co-chairs should be one government and one academic representative.
389 Members should have a mandate of three years (with possibility of one renewal). NSERC or
390 other funding agencies representatives should be part of the membership. PARRC should
391 meet at least twice a year (with one face-to-face). Further details of the mandate and a draft
392 terms of reference regarding the PARRC are provided in Appendix E.

393 **Key recommendations in facilitating government-academic consultation:**

- 394 • Recommendation 1
- 395 • Recommendation 2

396 **Quantifying University Capacity in ARR (1 page)**

- 397 • *This topic will be discussed at the workshop on January 20.*
- 398 • More information needed about University capacity for research if we are to engage
399 in effective strategic planning.
 - 400 ○ [EC used to compile info on faculty, research groups, etc.. CSA is doing some
401 related compilations now. US UCAR does this regularly.]
- 402 • Canadian Universities need to find resources to compile this information and keep it
403 updated.
- 404 • Appendix D including preliminary survey? This will require resources to carry out.

405 **Key recommendations in quantifying university capacity:**

- 406 • Recommendation 1
 407 • Recommendation 2
 408

409 **Conclusion (1 page)**

- 410 • Summarize key recommendations from above.
 411 • Outline next steps and recommendations.

412 **Appendix A**

413 Table A1 (if the following is to be included it will be included as an appendix only)

Organization/Agency and/or Program	Research Priorities
Climate Change and Atmospheric Research Program (NSERC and various federal agencies), 2012	<ul style="list-style-type: none"> • Understanding Earth system processes and their representation in models; • Advancing weather, climate, and environmental prediction; • Understanding recent changes in the Arctic and cold region environments.
ARRCU Research Priority Areas for Partnership (2016)	<ul style="list-style-type: none"> • Prediction, Arctic and Cold Regions, Regional Climate and Hydroclimate, Air Quality, Atmosphere-related Biogeochemical Cycles
Government priorities related to ARR (2017)	<ul style="list-style-type: none"> • Develop Weather Prediction & Warnings. AQ Forecasts. • Inform Air Pollutants, Toxics, and Greenhouse Gases regulations and reporting as directed by CEPA, Canada/US Air Quality Accord, UN ECE LRTAP and the UNFCCC, and GoC Enforcement obligations. • Inform the Pan Canadian Framework for Clean Growth and Climate Change: The mitigation and adaptation goals related to the Paris agreement. Climate Services. • Reduce disaster risk related to weather and climate change as guided by the Public Safety Emergency Management • Advance climate resilient infrastructure under the Green Infrastructure program
ECCC ASTD Scientific Priorities	<ul style="list-style-type: none"> • Earth system based climate and weather models. Unified framework of fully coupled atmosphere – ocean – terrestrial models. Bio-geo-chemical cycles. • Advanced utilization of space based observations through development of data assimilation systems for physical and chemical atmospheric parameters, and land surface conditions. • Development of observed data (surface and satellite) – model fusion methods for regional and facility-scale emission source characterization. • Atmospheric monitoring methods research. • Emissions measurements research and testing

CSA Partnership Needs	<ul style="list-style-type: none"> ▪ Deriving benefit from currently operating space infrastructure through analysis of data leading to the improvement of models, assimilation of data leading to improved environmental forecasts and greater societal resilience to environmental events. ▪ Activities throughout the space science mission cycle: science/instrument/mission concept studies, observing system simulation experiments, and science development in parallel with technology development. ▪ Development of new space missions to observe Earth's atmosphere, generation and Cal/Val of data products with ground-based and airborne observations. ▪ Hands-on training to students, and professional development, in all phases of the space mission cycle, including sub-orbital capability demonstration flights and analysis of data from currently operating satellites.
NSERC Strategic Partnership Target Areas relevant to ARR	<p>Targeted areas for Environment and Agriculture</p> <p>1. Water: Health, Energy, Security - Strengthening water resource sustainability in agriculture</p> <p>2. Aquatic ecosystems - Adapting agricultural production systems to climate change</p> <p>3. Climate Change Research and Technology - Disaster mitigation for climate extremes</p>

414

415 Further material for Appendix A: Current partnership priorities, programs, funding calls
416 (Sylvie/Dave to help here)

- 417 • Brief review/list of current partnership programs (NSERC Strategics, CCAR, Grants
418 and Contributions). Expand in Appendix A.
- 419 • CSA Announcements of Opportunity for "Flights and Fieldwork for the Advancement
420 of Science and Technology (FAST)", Student Participation in Conferences, Data
421 Analyses Grants, and Stratospheric balloon flight opportunities. CSA Requests for
422 Proposals for contracts to perform Concept Studies and Space Technology
423 Development.
- 424 • ESA Invitations To Tender for contracts related to studies, simulations and data
425 application.
- 426 • Refer to models provided by US and international government-academic programs
427 (recurring calls by NOAA/NASA/ESA/ONR etc.)

428

429 **Appendix B:** ARR infrastructure in Canada

430 Space-based observing facilities and related data retrieval systems:

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- 431 • Atmospheric Composition Experiment (ACE) – Fourier Transform Spectrometer
 432 (FTS), and Measurements of Aerosol Extinction in the Stratosphere and
 433 Troposphere Retrieved by Occultation (MAESTRO) on SCISAT

434 Stratospheric Balloon Program in cooperation with CNES.

435 **Appendix C:** International research and infrastructure programs with Canadian
 436 involvement

437 Space-based observing facilities:

- 438 • Optical Spectrograph and InfraRed Imaging System (OSIRIS) on Odin
 439 • Measurements Of Pollution In The Troposphere (MOPITT) on Terra
 440 • Cloudsat
 441 • ESA missions in development for near-term launch (Sentinel 5 Precursor, ADM-
 442 Aeolus, Sentinel 3, EarthCARE)

443 WCRP Canadian national report of activities could be useful resource here.

444 Chapter 8 of Canada's Sixth National Report on Climate Change, on Research and
 445 Systematic Observation of Climate Change could be useful resource here.

446

447 **Appendix D** (perhaps): Preliminary survey on University research capacity

448 **Appendix E (Panel on ARR in Canada (PARRC) Terms of Reference)**