Cover letter and text of responses provided to the Federal Science Review Panel.

**September 26, 2016** 

**From:** Working Group on Atmosphere Related Research in Canadian Universities (ARRCU) **To:** Advisory Panel for the Review of Federal Support for Fundamental Science

Dear Members of the Advisory Panel,

With this submission, we would like to draw your attention to the attached recently-posted white paper by the working group on Atmosphere-Related Research in Canadian Universities (ARRCU). This is a self-organized group of Canadian university faculty who undertake research in weather, climate, and air quality under the general framework of atmosphere-related research (ARR). ARR considers the whole atmosphere, from the surface to space, and its interaction with land-surface, hydrologic, oceanic, cryospheric, and space systems. Work in this area connects atmospheric and related sciences to many other areas of environmental and social science.

Following preparatory workshops in August 2014 and May 2015 (see *CMOS Bulletin* August 2015, **43**(4), http://tinyurl.com/arrcu-CMOS-Bull-2016), the ARRCU working group has initiated a strategic planning process in Canadian ARR across the university, government, and industrial sectors. We aim to help configure academic ARR to most benefit Canada in a time of rapid environmental and socio-economic change. We believe that a strategic plan initiated from the Universities, renewable on a 5-7 year basis, will benefit ARR activities within and outside the wide range of University departments and disciplines where ARR takes place. The planning process is structured on the themes of 1) building research capacity and excellence; 2) partnerships with government and industry and sustainable research support; and 3) education, training, and outreach.

A hallmark of ARR as an area of research and scholarship is its applied relevance, given its strong linkages to environmental forecasting and spin-off applications. In the White Paper we emphasize the strong connection between applied and fundamental research in ARR --- we frame this in terms of the two-way flow of research ideas and results from "Discovery to Application" and from "Application to Discovery" (see Figure 1, which is described in more detail in the White Paper). We aim to enhance the University community's research capacity, partnerships, and efforts in education and training to provide the most benefit to Canadians in a time of rapid environmental and socio-economic change. The ARRCU effort does not direct priorities in fundamental research but we instead summarize priority areas for research partnerships that the Canadian research community is well positioned to undertake in the next several years.

Our effort has received the encouragement and support of the CMOS Scientific Committee, the Canadian Space Agency (CSA), and NSERC, as well as other agencies and industry. The White Paper has been signed by over 70 Canadian University faculty after an extensive review process that has taken place over the last six months. We invite this review panel to read and give us further feedback on the White Paper and this initiative.

Through our consultations, we have identified the following points that we would like to bring to the attention of the review committee:

We recall current national and international commitments that have strong linkages to ARR, including the many direct references to climate change in the Prime Minister's ministerial mandate letters, as well as Canada's commitments under the Paris Agreement (COP21). These commitments provide an impetus for strategic thinking on partnerships between the academic and partner/stakeholder communities.

- We believe an excellent case can be made that increased academic sector planning input will benefit the renewal of the Climate Change and Atmospheric Research (CCAR) program of Canada's Natural Sciences and Engineering Research Council (NSERC), which has been the main government-university partnership in ARR in the last five years. Such a case also extends to other Strategic Partnership programs, such as the NSERC Strategic Project grants.
- Alongside partnership based research we believe that strengthening support for fundamental ("discovery") research, through, for example, increases in support available from the NSERC Discovery Grants, will lead to improved outcomes in international level research excellence, sustained strategic partnerships, and education of highly qualified personnel.

Sincerely,

U/h

Prof. Paul Kushner, University of Toronto, on behalf of the ARRCU Working Group Committee

P.S. We also invite interested panel members to attend a webinar on November 7 from 2 p.m. to 4 p.m. Eastern Time, to discuss those aspects of strategic planning related to academic-government partnership, in preparation for a focus paper on this theme. To participate in the webinar, please follow the link

https://www.surveymonkey.com/r/XF9RNLD

or simply email Dr. Sylvie Roy of NSERC (<u>Sylvie.Roy@NSERC-CRSNG.GC.CA</u>) who will send you additional briefing materials and joining instructions.

# Please address comments and correspondence to the ARRCU Working Group Committee:

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Research disciplines and infrastructure	to study coupled physical/chemical/biogeochemical processes in	supporting integrative research in
<ul> <li>Physical, chemical, and life sciences</li> <li>Mathematics and statistics</li> <li>Laboratory</li> <li>Remote sensing</li> <li>In situ measurements, field campaigns</li> <li>Advanced research computing</li> </ul>	<ul> <li>Atmosphere</li> <li>Ocean</li> <li>Land</li> <li>Hydrosphere</li> <li>Cryosphere</li> <li>Biosphere</li> </ul>	<ul><li>Weather</li><li>Climate</li><li>Air quality</li></ul>



# Research on weather, climate and air quality to benefit Canada in a time of rapid change.

- Application to Discovery
- 1. Research excellence and capacity in fundamental and applied ARR.
- 2. Partnerships: application-to-discovery and discovery-to-application.
- 3. Education and training for research and practice.

Direct Applications	Other Spinoffs	Expert Input and Assessment	Education
Weather forecasting     Air quality assessments and forecasts     Seasonal climate and hydroclimate prediction     Understand historical and current climate change.     Climate change projections.     Hazard mitigation     Sea ice forecasting	Environmental prediction     (e.g. hydrological, marine,     stratospheric and     tropospheric ozone, space     weather)      Climate impact assessment      Applications in agriculture,     forestry, human health,     renewable-energy/other     resource sector activities,     transportation, commerce,     and other industries.	Input into policy, resource planning, and industrial planning  Communication of ARR to media and public: attribution, articulating scenarios and outcomes, assessing risk for the public and institutions	<ul> <li>Undergraduate teaching</li> <li>Education and training of highly qualified personnel</li> <li>Professional certification programs</li> </ul>

**Figure 1:** Scope and framework for university-based ARR, from the ARRCU White Paper. This represents our community's overarching goals, our fundamental and applied work, and the connections between them.

#### **Federal Science Review Submission**

This document includes all the questions in the federal science review for institutional submissions. It starts with a general preamble that was placed at the beginning of each response. The strategy we used was to reply in a general way to most of the questions and encourage the panel to read the White Paper, which really represents the vision and perspective of the ARRCU group.

Preamble: The ARRCU Working Group (ARRCU.ca) is a self-organized group of Canadian university faculty who undertake Atmosphere Related Research (ARR). ARR is defined as research in weather, climate, and air quality that considers the whole atmosphere, from the surface to space, and its interaction with land-surface, hydrologic, oceanic, cryospheric, and space systems. Work in this area connects atmospheric and related sciences to many other areas of environmental and social science. The ARRCU Working Group has authored a White Paper that is attached to this submission to the Federal Science Review. The White Paper is the result of consultations with a broad academic and non-academic community over the last year. The White Paper highlights the benefits of fundamental research support and proposes to engage in strategic planning in partnership with government and industry. We provide brief responses to many of the questions in the Federal Panel survey that point to relevant material in the White Paper. See ARRCU.ca for more details.

- 1. From the perspective of research, are Canadian universities keeping pace internationally? If not, what changes or new programs are needed to close the gap?
  - Fundamental and applied ARR are strongly coordinated under the auspices of the United Nations, the World Meteorological Organization, the World Climate Research Program, and other international organizations. The ARRCU White Paper suggests that the impact of Canadian university based activities in ARR benefits from engagement at the international level. Support explicitly aimed at internationally sponsored research programs would thus enhance Canadian university based ARR. Please see the ARRCU White Paper for further details.
- 2. Is the federal funding ecosystem meeting the needs of researchers in your institution(s)? As the needs change, is the ecosystem able to adapt and accommodate?
  - The ARRCU White Paper argues that enhancement of support for fundamental ARR such as currently provided by the NSERC Discovery Grants program would improve scientific and applied outcomes deriving from Canadian University-based ARR. Please see the ARRCU White Paper for further details.
- 3. Does the federal science funding community (e.g. the granting councils, the CFI and other agencies or organizations distributing federal funds for research) consult institutions to ensure that their programs are aligned to the needs of administrators? If so, how? If not, should it and how should it?
  - The ARRCU White Paper has received organizational support in its efforts from NSERC, the Canadian Space Agency, and Environment and Climate Change Canada. One of the primary objectives of the current ARRCU activity is to better organize partnerships with these and other federal agencies. To achieve this objective, we are engaging in a strategic planning process that will ensure the ongoing effectiveness of these partnerships. Please see the ARRCU White Paper for further details.

- 4. Comment on the coordination between the programs being provided by the granting councils and other funding organizations, provinces, and/or amongst themselves. Are there areas for improvement?
  - This is not an issue the ARRCU White Paper has dealt with in detail. It is hoped that institutional coordination in ARR across academia, government and industry will benefit from detailed discussions and consultations that we will hold in 2016-2017. Our activity is aimed at ensuring that the University community contributes to and understands well the needs and constraints of organizations outside academia.
- 5. Could the application processes for funding be improved? If so, what would you suggest? Are there issues with the matching programs associated with various funding programs? If so, how could this be improved?
  - This is not an issue the ARRCU White Paper has dealt with in detail. However, we have learned through our consultation process that matching programs, application processes, and reporting requirements add a significant administrative burden to University researchers who might not be well positioned to deal with it. This is an issue we seek to address in our strategic planning process.
- 6. Is there a need for the federal government to improve the balance across funding elements (e.g. investments in principal researchers, funding of research staff and other direct costs of research, funding of infrastructure and equipment operations and maintenance, and reimbursement of indirect costs)? If so, how can this balance be achieved? What is the appropriate federal role in supporting infrastructure operating costs? Do CFI and granting councils programs work in a complementary fashion?
  - These are important issues that the ARRCU White Paper only touches on, but that the ARRCU strategic planning process will seek to address in the area of balance of support between infrastructure, maintenance, direct costs, and indirect costs. We are not in a position to comment upon investments in researchers, staff, and other human capital issues.
- 7. What should the balance be across funding risky, novel, or emerging research areas and research with important established lines of inquiry? Do current programs and review processes achieve the right balance?
  - This is an issue the ARRCU White Paper does not address directly. Our effort does not aim to proscribe fundamental research priorities of this community. The White Paper's position is that strengthened support for fundamental ARR will lead to creative and cutting-edge research that will in turn enrich applied outcomes of ARR.
- 8. What should the balance be across funding of research to meet broad government priorities and having research priorities determined primarily by the ideas of the research community? Do current programs and review processes achieve the right balance?
  - This is an issue the ARRCU strategic planning process seeks to address directly, by identifying areas for

partnership in which the academic community can usefully contribute to research needs of both government and industry. Partnership begins with communication and recognition of mutual benefits, and it is for this reason that strategic planning in the area of partnerships is a core concern of ARRCU in 2016-2017. Please see the ARRCU White Paper for further details.

- 9. Do current federal programs encourage and support domestic collaboration? Is there sufficient flexibility in federal funding programs for participation in international collaborations? Are there particular research areas where more emphasis on international collaboration is needed?
  - [Identical to Question 1] Fundamental and applied ARR are strongly coordinated under the auspices of the United Nations, the World Meteorological Organization, the World Climate Research Program, and other international organizations. The ARRCU White Paper suggests that the impact of Canadian university based activities in ARR benefits from engagement at the international level. Support explicitly aimed at internationally sponsored research programs would thus enhance Canadian university based ARR. Please see the ARRCU White Paper for further details.
- 10. Are current federal programs supporting the needs of multidisciplinary research programs? If not, how can the situation be improved? Does the funding ecosystem (funding councils and other agencies) work collaboratively and effectively across disciplines?
  - ARR, being concerned with weather, climate and air quality, is an inherently multi-disciplinary field. The ARRCU Working Group has sought to broaden our consultations across disciplines as much as possible and to in fact avoid disciplinary labels unless necessary. Our strategic planning process will seek to improve the workings of the funding ecosystem for ARR and research areas that are connected to it. Please see the ARRCU White Paper for further details.
- 11. Does your institution participate in major science initiatives or "Big Science," including large international collaborations and facilities? Why or why not? If your institution does participate, how is your participation funded? Are there challenges in identifying or securing funding sources?
  - [Identical to Question 1]: Fundamental and applied ARR are strongly coordinated under the auspices of the United Nations, the World Meteorological Organization, the World Climate Research Program, and other international organizations. The ARRCU White Paper suggests that the impact of Canadian university based activities in ARR benefits from engagement at the international level. Support explicitly aimed at internationally sponsored research programs would thus enhance Canadian university based ARR. Please see the ARRCU White Paper for further details.
- 12. What is the best way to fund areas of strategic interest such as emerging, transformative or potentially disruptive technologies, and/or areas of broader societal interest? Are granting councils well placed to fund/support these areas or are separate mechanisms required?
  - This is not an area that the ARRCU White Paper has addressed, since our strategic planning effort is in its formative stages.
- 13. Identify the unique barriers that the following groups face in obtaining support for investigator-led research. Do current programs address these barriers? What else could be done to address these

barriers?

a) students, trainees, and early career researchers b) women c) aboriginals and other underrepresented groups.

This is not an area that the ARRCU White Paper has addressed, since our strategic planning effort is in its formative stages.

14. Are there international programs, structures, models, or best practices that Canada should consider adopting? If so, please explain why these should be considered.

[Identical to Question 1] Fundamental and applied ARR are strongly coordinated under the auspices of the United Nations, the World Meteorological Organization, the World Climate Research Program, and other international organizations. The ARRCU White Paper suggests that the impact of Canadian university based activities in ARR benefits from engagement at the international level. Support explicitly aimed at internationally sponsored research programs would thus enhance Canadian university based ARR. Please see the ARRCU White Paper for further details.

15. What should the vision be for Canadian science? If we imagine an even more successful future for Canadian science, what does success look like and how should it be measured?

Preamble: The ARRCU Working Group (ARRCU.ca) is a self-organized group of Canadian university faculty who undertake Atmosphere Related Research (ARR). ARR is defined as research in weather, climate, and air quality that considers the whole atmosphere, from the surface to space, and its interaction with land-surface, hydrologic, oceanic, cryospheric, and space systems. Work in this area connects atmospheric and related sciences to many other areas of environmental and social science. The ARRCU Working Group has authored a White Paper that is attached to this submission to the Federal Science Review. The White Paper is the result of consultations with a broad academic and non-academic community over the last year. The White Paper highlights the benefits of fundamental research support and proposes to engage in strategic planning in partnership with government and industry. We provide brief responses to many of the questions in the Federal Panel survey that point to relevant material in the White Paper. See ARRCU.ca for more details.

The ARRCU Working Group represents a particular research area whose sine qua non is applied research in weather, climate, and air quality, but whose underpinnings and foundations are in the fundamental mathematical, physical, and chemical sciences. This vision for this particular research area is a two way flow: "Discovery to Application" and from "Application to Discovery". The ARRCU community seeks an environment with rich support for fundamental research with a strong emphasis on advancing the benefit of ARR to Canadians in predicting and understanding issues surrounding weather, climate and air quality. Our science vision is laid out in the White Paper, and we would ask the Review Panel to read this document.

16. Are there any other issues or questions that you would like to raise and address? [The previous question only had a limited text window, so we reproduced the response to Question 15 below.]

The ARRCU Working Group represents a particular research area whose sine qua non is applied research in weather, climate, and air quality, but whose underpinnings and foundations are in the

fundamental mathematical, physical, and chemical sciences. This vision for this particular research area is a two way flow: "Discovery to Application" and from "Application to Discovery". The ARRCU community seeks an environment with rich support for fundamental research with a strong emphasis on advancing the benefit of ARR to Canadians in predicting and understanding issues surrounding weather, climate and air quality. Our science vision is laid out in the White Paper, and we would ask the Review Panel to read this document.

# **Next Document:**

**ARRCU White Paper, Posted to ARRCU.ca** 

August 22, 2016

# A White Paper on Atmosphere-Related Research in Canadian Universities (ARRCU)

**ARRCU Working Group Committee:** P. Kushner (chair), P. Gauthier, J. Gyakum, A. Monahan, R. Martin, P. Myers, K. Strong, R. Stull, P. Taylor

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#### Abstract

This White Paper describes a community of Canadian university scientists who carry out *atmosphere-related research* (ARR) in weather, climate, and air quality. ARR, which integrates observations, theory, and models, is characterized by strong linkages between fundamental and applied research. ARR considers the whole atmosphere (from the troposphere to the thermosphere); works at the interface of research on land-surface, marine, cryospheric, and space systems; and connects to many areas of environmental and social science. A strategic planning initiative is proposed whose overarching goal is to ensure that academic ARR is configured so as to be of the greatest possible benefit to Canada in light of rapid societal, economic, and environmental change. The plan would be developed by ARR practitioners from universities, government, and industry and would be periodically revisited on a five-to-seven year basis. The purpose of this strategic plan will be to clarify research and related resource priorities, partnership arrangements, and priorities related to education and training for Canadian ARR. A case is also made for strengthened support for fundamental "discovery" research that is not targeted towards specific partnerships or applied priorities. However, the present initiative is not intended to direct priorities in discovery research.

Focusing on applied research partnerships, the White Paper lays out a draft list of ARR *Priority Areas for Partnership* targeted on weather and climate prediction; the Arctic and cold regions; regional climate/hydroclimate, extremes, and weather and climate impacts; air quality; atmosphere-related biogeochemical cycles; and applied research efforts. It also proposes the steps required to put the strategic planning process into effect.

#### Introduction

Canadians depend in their work and leisure on high quality scientific information about weather, climate, and air quality. Severe weather and marine forecasts, long-term temperature and precipitation assessments, air pollution outlooks, and many other related products and services help Canadians plan

everyday activities, make informed financial and business decisions, and develop effective infrastructure designs for their communities. Beyond this, Canadians are inspired and concerned by issues surrounding weather, climate, and air quality. Canadians turn to scientific experts in these areas to understand extreme events (such as the great Québec ice storm of 1998, 2012's Superstorm Sandy, the 2013 Calgary flood, and severe urban air pollution events), variations and changes in climate (such as the effects of El Niño on weather, human-caused global warming and Arctic sea-ice loss), and related impacts (on recent forest fires in the West and the Prairies, on public health, on agriculture, etc.). They also count on these experts to provide guidance on public policy in response to ongoing environmental challenges related to weather, climate, and air quality. There is a strong business case, and a strong case in terms of the public interest and value to society, for ongoing investments to support these services and expertise.

Recognizing this, Canada and other industrialized countries have invested in a nationally and internationally coordinated 'knowledge resource' consisting of infrastructure, products, and services related to weather, climate, and air quality. This resource is manifested in operational weather and environmental forecasting, climate and environmental data products, impact assessments, etc., that are readily available to researchers, private citizens, institutions, and communities. This resource is supported by a large research community of dedicated scientists in many professional settings including academia, government, and industry. This community's research, along with major investments in instrument development, measurement systems, weather and climate model development, and computer resources, have over the years greatly improved our observing and forecasting tools in weather, climate, and air quality; these in turn have led to cost savings and commercial activity that enhance our prosperity. Much of this research requires extensive collaboration and resource sharing on a national and international scale. It coordinates large groups of researchers who study how local weather, climate, and air quality conditions 'here at home' are linked to global conditions 'everywhere else'. But often the frontier of this research involves innovation by individual scientists, working on new ideas in laboratories and small research groups, exploring scientific fundamentals, and discovering new ways to make this knowledge relevant to people and communities across Canada and around the world.

The authors and signatories to this White Paper form a group of Canadian university scientists who care passionately about the state and fate of Canada's research in atmospheric and related sciences. The purpose of this White Paper is to begin an effort for this community to synthesize a vision of our research community's plans and priorities in the coming years. It is time to tackle challenging questions such as Where should our research go next? How can university (academic) researchers most effectively partner with government and industry? How do we educate the next generation of students and practitioners? We are addressing these questions in a period of evolving partnerships between university, government, and industry; of changing expectations for research funding; and of transformation in university education. In addition,

- An important government-university partnership in ARR, the Climate Change and Atmospheric Research (CCAR) program of Canada's Natural Sciences and Engineering Research Council (NSERC), is past its half-way point and is being reviewed. We are asking, and are being asked, if the CCAR approach should be renewed with new resources.
- The Government of Canada is undertaking a comprehensive review of fundamental science research in Canada under the auspices of the "Advisory Panel for the Review of Federal Support for Fundamental Science," to address program gaps and seek insight from international practice.

We recall current national and international commitments that have strong linkages to ARR, including
the many direct references to climate change in the Prime Minister's ministerial mandate letters, as
well as Canada's commitments under the Paris Agreement (COP21). These commitments provide an
impetus for strategic thinking on partnerships between the academic and partner/stakeholder
communities.

Considering all these factors, we believe it is critical to put time and effort into strategic planning for our fields of research.

In this White Paper we will outline the perspective that our community, 'Atmosphere-Related Research in Canadian Universities' (ARRCU), has put forward to develop a strategic plan for research in atmospheric and related sciences in the areas of weather, climate, and air quality. The work leading to this White Paper started with a 2014 Montréal workshop hosted by the U.S.-based University Corporation for Atmospheric Research, was followed by a 2015 workshop sponsored by the Natural Sciences and Engineering Research Council of Canada (NSERC) and other organizations, and then by two rounds of consultation on different drafts of this White Paper. (Some background information, gathered for the 2015 ARRCU workshop, is available at <a href="http://tinyurl.com/arrcu-may2015-workshop">http://tinyurl.com/arrcu-may2015-workshop</a> and a workshop report can be found at <a href="http://tinyurl.com/arrcu-CMOS-Bull-2016">http://tinyurl.com/arrcu-CMOS-Bull-2016</a>.) The authors of this White Paper are the ARRCU working group's organizing committee; we have had input from many others, and those who have signed onto the White Paper (see Appendix B, Table 2) support the viewpoint it outlines. It will take time and work with our partners to produce a detailed strategic plan in atmosphere-related research (ARR) in Canada. But each step along this path has been stimulating and we are confident that this effort will lead to improved organization, coherence, clarity, and focus of our work.

Next we will define the aims of the ARRCU community and outline directions for our priorities in the coming years. The intended readership of this White Paper is the ARRCU community itself, our partners in government and industry, our university administrators and colleagues in other fields, and our colleagues around the world. We hope that its key messages are also appreciated by the general public. We appreciate any feedback you, the reader, can share with us to help make our message clear and straightforward. Please send comments to the ARRCU Working Group Committee, whose contact information can be found at the end of this document.

### Atmosphere-Related Research in Canadian Universities: Definition, Scope and Objectives

The ARRCU community is a group of researchers in Canadian universities working on fundamental and applied scientific research in themes relevant to weather, climate, and air quality. Many of us focus our research on the atmosphere, which impacts us across a broad range of space and time scales through variations in the weather and the climate. But the atmosphere cannot be studied in isolation. So included in our group are many others who study natural systems connected to the atmosphere, such as the oceans; solar-terrestrial interactions; systems related to the land surface such as forests and soils; hydrologic systems such as lakes, rivers, and wetlands; and frozen systems such as sea ice, snow, glaciers and permafrost (which are especially relevant to Canadians). We also study related human systems that interact with the atmosphere, for example the chemical systems contributing to anthropogenic emissions, and the response of the atmosphere to changes in these emissions. We research the physical, biological, and chemical processes controlling and connecting these systems – coupled *biogeochemical* processes. Our science draws on applied mathematics and statistics, cutting edge laboratory facilities, development of new instruments and measurement systems for laboratory and field work, networked ground- and

space-based observations, aircraft observations, coordinated field observation campaigns, and numerical model simulations carried out on advanced research computers. ARR is "Big Data" science requiring ready access to petabyte-scale archives of observational and simulated data. ARR relies on historical data to provide the context of the present and thus is keenly aware of the need to preserve and interpret historical data. As well, ARR recognizes that today's data must be archived in a form that can be used by tomorrow's research community. Given the many systems, processes and techniques involved, ARR needs to coordinate many elements to be done well. Terms we can use to describe this kind of research are *integrative*, *interdisciplinary*, and *multidisciplinary*. The green shaded table in Figure 1 summarizes the definition of ARR.

Research disciplines and infrastructure	to study coupled physical/chemical/biogeochemical processes in	supporting integrative research in
<ul> <li>Physical, chemical, and life sciences</li> <li>Mathematics and statistics</li> <li>Laboratory</li> <li>Remote sensing</li> <li>In situ measurements, field campaigns</li> <li>Advanced research computing</li> </ul>	<ul> <li>Atmosphere</li> <li>Ocean</li> <li>Land</li> <li>Hydrosphere</li> <li>Cryosphere</li> <li>Biosphere</li> </ul>	<ul><li>Weather</li><li>Climate</li><li>Air quality</li></ul>



# Research on weather, climate and air quality to benefit Canada in a time of rapid change.



- 1. Research excellence and capacity in fundamental and applied ARR.
- 2. Partnerships: application-to-discovery and discovery-to-application.
- 3. Education and training for research and practice.

Direct Applications	Other Spinoffs	Expert Input and Assessment	Education
Weather forecasting     Air quality assessments and forecasts     Seasonal climate and hydroclimate prediction     Understand historical and current climate change.     Climate change projections.     Hazard mitigation     Sea ice forecasting	Environmental prediction     Climate impact assessment     Applications in agriculture, forestry, human health, renewable-energy/other resource sector activities, transportation, commerce, and other industries.	Input into policy, resource planning, and industrial planning  Communication of ARR to media and public: attribution, articulating scenarios and outcomes, assessing risk for the public and institutions	<ul> <li>Undergraduate teaching</li> <li>Education and training of highly qualified personnel</li> <li>Professional certification programs</li> </ul>

Figure 1: Scope and framework for university-based ARR

The blue shaded table in Figure 1 summarizes various applications, including products, services, and professional roles that are informed by academic ARR. Daily forecasts of weather, outlooks of pollutant distribution, and prediction of seasonal climate, come directly from observations and computer models of

the atmosphere, oceans, etc. While these are typically produced by government departments such as Environment and Climate Change Canada (ECCC), and used by individuals and industry, University-based research continually contributes to developing and improving these products. A wide range of spinoffs, developed inside and outside universities, add value to these products. These spinoffs include economic and environmental prediction for industry, agriculture, human health, renewable energy and other resource sector activities, transportation, commerce, and other industries. In common with our government and industry colleagues, many university-based researchers in ARR lend scientific expertise to policy development, resource planning, and industrial planning in Canada and around the globe. We also work to communicate our research to institutions and the public, and share our scientific expertise on weather, climate, and air quality. Finally, an important role for us, particularly in the universities, is in post-secondary education: we introduce undergraduate students to our fields to stimulate their curiosity and inform them as citizens; and we train undergraduate students, graduate students, postdoctoral fellows, and other highly qualified personnel (HQP) in research, forecasting practice, and other areas.

Weather forecasts, climate predictions and projections, and the related products and services we have been discussing are so easily available that they can be taken for granted. Canadians today are benefitting from many decades of investments in ARR, but ongoing financial investments and coordinated effort are needed to ensure that Canadians will continue to receive the benefits that advancement of ARR can bring. Academic researchers have long pointed out that investments in fundamental and applied ARR have a proven track record of leading to valuable applications. We have also sought to engage and listen to our partners in private companies, government laboratories and forecast centres to stimulate key directions for fundamental research. The arrows in Figure 1 highlight a two-way connection, from discovery to application and from application to discovery. As scientists engaged in fundamental research, we believe that such research is an effective path towards new discoveries with practical spinoffs. Conversely, in this period of increasing interaction with research communities outside the academic sector, we seek ways to enhance our ability to effectively address the needs of our partners. This back and forth between fundamental and applied research is also relevant to the changing educational mission of our university institutions. We are being challenged to address the practical needs of students, discover entrepreneurial opportunities, talk to the industries that hire our graduates, and adapt our methods and academic programs accordingly.

The products and services in Figure 1 involve considerable interaction between R&D activities in the three domains of academia, government, and industry. Such interactions also extend to so-called non-governmental organizations that are active in ARR and development of related spinoff products. This professional interaction across different sectors is an important part of what we mean by *partnership*. Partnership with government and industry is one of the main ways universities have obtained resources for ARR and its supporting infrastructure including laboratory, computing, and field measurement resources. The university community in ARR needs to be organized to respond as these partnerships evolve.

Having introduced the ARRCU community and outlined some of our roles and challenges in research, education, and partnerships, the purpose of the ARRCU community's planning initiative can be stated as follows:

The overarching goal of the ARRCU community's planning initiative is to ensure that Canadian university-based research in the science of weather, climate, and air quality is configured to provide the greatest possible benefit to Canadians in a time of rapid societal, economic, and environmental change.

To support this overarching goal the Canadian academic community in ARR should endeavour:

- 1) To build capacity and excellence in fundamental and applied Canadian university-based ARR in an international context. This means creating and sustaining conditions for innovative fundamental and applied research on atmospheric, marine, terrestrial, hydrologic and cryospheric systems and solar-terrestrial interactions that we have grouped under the ARR rubric;
- 2) To develop sustainable models of support for Canadian university-based ARR and effective strategic partnerships between the academic, government, and industry sectors, again in the context of global ARR; and
- 3) To educate future researchers, train practitioners, and provide expertise to our partners and the public in atmospheric and related scientific research that is connected with weather, climate, and air quality.

In the remainder of the White Paper, we will discuss these goals and summarize some key recommendations for a strategic plan in ARR. We reiterate that a full strategic plan for ARR in Canada requires more consultation with our government and industry partners. Even so, the ideas in this White Paper will provide the university-based ARR community's existing and developing perspective for strategic planning with our partners.

### **Building Capacity and Excellence in ARR**

If we want our strategic plan to maintain and enhance Canada's excellence in ARR, we need to recognize the *integrative* nature of ARR, and to identify and regularly update our research priorities. We need to pay attention to the broader field of international ARR, but also work in the Canadian context, focusing on areas of Canadian need and expertise.

What exactly do we mean by *integrative*? Fundamental ARR considers simultaneously many different systems (atmosphere, ocean, land surface, hydrologic, ecosystems, related natural "external" systems such as the Sun and volcanoes, related human systems, etc.) and requires many different scientific approaches (instrument development, operational and research oriented observations, models and data assimilation, theory). In ARR, coordinated field observations from ground-based, space-based, airborne and shipborne measurement platforms complement careful laboratory investigations in controlled environments. Comprehensive numerical models (such as those used on advanced research computing platforms in weather and seasonal prediction systems, marine environmental forecasting, climate modeling and analysis, pollution assessment, etc.) sum up our current knowledge of the physics, chemistry, and biogeochemistry of the processes that govern weather, climate, and air quality. The models are complemented by process models that are used to study phenomena in isolation. Newly developed instruments, satellite, and field and laboratory measurements stimulate new theory and model development, and provide input parameters and ground truth for our models. Data assimilation systems

provide an objective way for observations to constrain model solutions to produce 'analyses', our best estimate of the state of the atmosphere and the systems to which it is connected. These state estimates provide a dominant input to daily weather forecasts, seasonal and longer timescale climate predictions, and estimates of sources of greenhouse gas and other pollutants. Data assimilation provides the additional benefit of improving prediction systems through insights into the processes controlling weather, climate, and air quality. These insights in turn inspire new observational efforts based on novel measurement tools and techniques. Scientific advances in observations and modelling require research on fundamental principles in applied mathematics, physics, chemistry, computer science, geoscience, geography, biology, hydrology, ecology, etc.

Well-integrated ARR allows a focused observation or process study in an apparently specialized area to lend insight into many areas. For example, a study of airflow around cloud droplets leads to insights for models of atmospheric convection, which can improve weather and climate forecasts. Studying how pollution is transported gives insight into the chemical reactions involved, and learning about this chemistry can help us understand atmospheric circulation. Intensive observation of snow, hydrological, atmospheric carbon, and biogeochemical processes at carefully chosen field sites in Canada's boreal and temperate forests can help us understand energy, water, and carbon exchanges in Canadian and similar forests around the world, and improve our ability to model the land surface for climate analysis.

Applications of ARR also span a huge range and similarly integrate many areas of applied and social science research. Applied ARR includes forecasting and environmental prediction, and research relevant to human health, agriculture, forestry, land and water resource management, renewable energy, climate change vulnerability assessment, adaptation, analysis of mitigation options and impact evaluation. We need to articulate potential direct payoffs of ARR: for example, funding from NSERC, ECCC, Health Canada and the application of satellite data enabled a study on a Canada-wide assessment of exposure to fine airborne particulates (PM2.5), providing potentially tens of billions of dollars in health benefits. As another example, research on atmospheric chemistry in the Arctic stratosphere contributed to the understanding of the emergence of the Antarctic ozone hole (caused by photochemical ozone loss in the stratosphere). This, in turn, led to an international agreement, the Montréal Protocol, to address this critical societal problem by reducing stratospheric ozone depleting substances. In addition, the use of state of the art weather and climate models improves our capacity to identify key physical factors responsible for the occurrence of extremes and atmospheric hazards. This contributes to disaster risk reduction in Canada and abroad.

Our strategic plan needs to emphasize the linkage between fundamental and applied science. We need to make a strong case that for ARR to continue to provide significant benefits in the health, agricultural, economic, ecological and other domains, investment in large-scale infrastructure such as satellites and other systematic observation efforts, field programs, and advanced research computing are required. Such infrastructure investments should be balanced with human resource investments – the support of scientists who will exploit this infrastructure and carry out the research that will deliver these benefits.

As a result of its integrative nature, ARR happens in many disciplines, faculties, and departments across any given university. Our strategic plan will help the ARRCU community explain to university leadership how ARR crosses departmental and institutional boundaries. Clarifying this will also help us recruit top students and professional talent to sustain excellence in Canadian ARR.

Considering international practice and the example of other scientific communities in Canada, a strategic plan for ARR should identify fundamental and applied research priorities that should be regularly updated. We propose here that such a plan could be revised every five to seven years. To our knowledge, no such planning process including academic, government, and industry, across the research areas encompassed by the ARRCU community, has been undertaken in Canada. Fortunately, we may refer to several Canadian and international planning processes to help frame our ideas.

Our strategic plan for weather, climate, and air quality science in Canada needs to reflect global connections in the natural world and in the socio-economic context. A natural focus of Canadian ARR should be Canada's land mass, its coastal zone (Exclusive Economic Zone EEZ), including its Arctic territory. Improvements in forecasting and assessment and the development of value-added spinoffs directly relate to the concerns and needs of Canadian citizens and communities, as well as Canadian government and industry. But in fundamental and practical ways, ARR crosses geographic and national boundaries. Fundamental atmosphere-related processes take place in natural systems, such as circulation, cloud and precipitation systems that are globally linked. Lacustrine, river, alpine, forest, and cold-region systems comparable to Canadian localities can found in mid-to-high latitude regions worldwide. Furthermore, we know that atmospheric, oceanic, land surface, and sea ice conditions in many regions of the globe have a strong influence on Canada's territory through atmosphere-ocean teleconnections and the global atmosphere-ocean circulation. At a practical level, Canada shares data and resources in its commitment to global operational weather and environmental forecasting, operational exchange of in situ data for forecasting and other purposes, as well as international efforts in satellite remote sensing and field work. And it is of course in Canada's interest to maintain significant technical expertise in weather, climate, and air quality issues that extend past Canada's borders but are relevant to Canada's economy and society, e.g. research in global emissions that affect air quality and atmospheric trace gas composition (carbon dioxide, ozone, etc.) through atmospheric and oceanic circulation.

Global linkages are well reflected in international research programs that provide high level structure for our research; the ARRCU community can readily turn to these programs for guidance. For example, the World Weather Research Program (WWRP) and World Climate Research Program (WCRP), as well as the Future Earth program [which has incorporated several programs connected to ARR formerly under the International Geosphere-Biosphere Program (IGBP)], provide useful practical frameworks for research planning that can be adapted to the Canadian context. Many of these programs include considerable Canadian input in their development. Another planning framework in the Canadian context is provided by the NSERC Climate Change and Atmospheric Research Program (CCAR), which called for research in key theme areas of ARR. To summarize some of the relevant international and Canadian programs:

- The WWRP focuses on research to improve weather prediction. Its primary research priorities are organized around projects on high-impact weather (with the acronym HIW), polar prediction [the Polar Prediction Project (PPP)], and subseasonal to seasonal prediction (S2S, joint with the WCRP).
- The WCRP's research focus is on climate predictability and human influence on climate. Its core programs are on climate and cryosphere (CliC), climate predictability and variability (CLIVAR), hydrological cycles involving atmosphere and the Earth's surface (the GEWEX), and stratosphere-troposphere processes and their role in climate (SPARC), and the coordinated regional climate downscaling experiment (CORDEX). It has recently identified cross-cutting 'Grand Challenges' intended to draw together research across these projects, including 'Clouds, Circulation, and Climate Sensitivity', 'Melting Ice and Global Consequences', 'Climate Extremes', 'Near-term Climate Prediction',

- etc. These Grand Challenges use questions (e.g. *How will clouds and circulation respond to global warming or other forcings?*) and storylines to stimulate public interest in the research.
- The Future Earth Program encompasses a broad program of research in Earth System Science organized on the theme of sustainability. Programs closely related to ARR include the International Global Atmospheric Chemistry program (IGAC), which coordinates and develops the scientific community via large, international projects; the Surface Ocean and Lower Atmosphere Study (SOLAS), which integrates aspects of the coupled biogeochemistry of the oceans and atmosphere; and the Integrated Land Ecosystem-Atmosphere Processes Study (iLEAPS), which focuses on the coupled biogeochemistry of the land surface, terrestrial biosphere, and atmosphere, with an emphasis on human influences in these processes.
- The Canadian Consortium of Ocean Research Universities (C-CORU) has sought since 2011 to coordinate ocean science research across several Canadian universities, and provides a useful point of reference for our efforts in ARR.
- The Canadian CCAR program identified three research areas that supported research priorities of the Government of Canada in the 2012-2018 time period: 1) Understanding Earth system processes and their representation in weather, climate, and atmospheric chemistry models, 2) Advancing weather, climate, and environmental prediction, and 3) Understanding recent changes in the Arctic and cold region environments.

Within these broad programmatic structures, our strategic plan needs to also recognize that Canada, as a prosperous country featuring a relatively small and geographically dispersed population, should carefully focus its research resources. This requires identifying critical research gaps that can be filled by capitalizing on Canada's infrastructure and institutions, as well as its unique historical and geographical context. Finally, we recognize that although Canada can rely up to a point on international contributions to research and development in ARR, in the end, it must maintain and further develop its own national expertise, to solve its own national problems and to bring its scientific expertise to the table in international discussions. This will not be done by other countries.

## Fundamental "Discovery" Research and Research Priority Areas for Partnership

Realization of a thriving research environment in which discovery and application are linked is a critical goal of our strategic planning. Our strategic plan should 1) describe the role of fundamental research ("discovery research") that is not targeted to specific partnership or applied priorities, and 2) identify more targeted priority areas that integrate across research areas (similar to the structure of WCRP and CCAR program elements), that reflect Canada's core strength and expertise, and that align well with our Canadian and international partners' strategic priorities.

We recognize the synergy between targeted research support through partnerships like the CCAR Networks and discovery-research support through the NSERC Discovery Grants. Discovery-research support allows for a breadth and nimbleness of investigation that provides the nucleus for ideas for innovative application, and that thus enables targeted research by small groups and networks to thrive. We emphasize that no matter how integrative our research is, and how closely linked to partnerships outside the university sector, adequate NSERC Discovery Grant support is critical to the success of academic ARR in Canada. We also stress that the ARRCU initiative is not intended to direct priorities in fundamental ARR.

To address our partnerships with government and industry, as part of our consultations in 2014-2015, we have developed the following list of Research Priority Areas for Partnership (see Table 1). These represent the university ARR community's perspective on areas of interest that might appear in a Strategic Plan for ARR involving the academic, government, and industry sectors. While much of this research is focused on Canada, these areas also relate to the academic community's international partnerships. These areas are broad categories; to establish specific priorities that could be supported through funding calls will require continued strategic planning. These proposed Research Priority Areas for Partnership align with several programs mentioned above (CCAR, WCRP, WWRP, and Future Earth).

Research Priority Areas for Partnership for ARR for the next 5-7 years		
Prediction	Research to improve weather, climate, and air quality prediction and projections, for atmospheric, marine, land surface, and hydrologic systems.	
Arctic and Cold Regions	Research on weather, climate, and air quality of the pan-Arctic and other cold regions.	
Regional Climate and Hydroclimate	Research on regional climate and hydroclimate change and variability, including extremes and high impact weather.	
Air Quality	Research to improve understanding of controls on air quality.	
Atmosphere-related Biogeochemical Cycles	Research on carbon, nitrogen and other biogeochemical cycles.	
Applied Research	Research to develop practical and/or commercial applications of advances in ARR.	

**Table 1:** Draft list of Research Priority Areas for Partnership for ARR for the 5-7 year timeframe.

**Prediction:** Research to improve weather, climate, and air quality prediction and projections, for atmospheric, marine, land surface, and hydrologic systems, through enhanced use of ground- and spacebased observations and improved process representation in models.

This Research Priority Area for Partnership concerns environmental prediction spanning a wide range of timescales – from less than an hour up to a few days, from daily to the subseasonal, from the subseasonal to the seasonal, and on to multiyear and (for climate projections) multidecadal timescales – with a strong linkage to operational weather forecasting, climate prediction, and climate projection. Academic sector contributions to this area are strongly linked to government research and needs. This area brings together fundamental research involving observational, modelling, and theoretical activities. Such fundamental research is motivated by the need to improve prediction in the weather, climate, and air quality domains. This research responds to the needs of centres responsible for weather and climate prediction and projection, as well as the needs of industry in this area. It is characteristically centred on the work of Canadian and international government research labs and to priorities of WWRP and WCRP. Work on data assimilation of atmospheric, oceanic, land surface, hydrologic, and cryospheric data; on

instrument development and improving capacity and analysis of ground-based and space-based platforms and networks; on model development, testing and evaluation all figure into this area. Systems of interest broadly include coupled atmospheric circulation, physical process, and chemical systems from the surface through the whole atmosphere (including the troposphere, stratosphere, the mesosphere, the upper atmosphere, and coupled interactions between these regions). These systems are themselves coupled to ocean, land, and sea ice systems. The contexts of global circulation and teleconnections, natural external influences from solar and volcanic forcing, investigations of past climate, and the influence of anthropogenic climate change on many aspects of environmental and climate prediction are also encompassed.

**Arctic and Cold Regions:** Research on weather, climate, and air quality of the pan-Arctic and other cold regions.

The Arctic occupies a place of singular scientific, socioeconomic, ecological, and geopolitical importance in Canada and globally. Societal and scientific interest in the Arctic, and cold regions more generally, is propelled by the signs of anthropogenic climate change that are imprinted so clearly there: rapid anthropogenic warming, moistening, ice retreat, and consequent socioeconomic and ecological impacts. Arctic and cold-region research in weather, climate, and air quality arguably cuts across all the other Research Priority Areas for Partnership in this White Paper and shares strong linkages to many research networks and fields of research outside the atmosphere-related domains identified in this White Paper. It is important, however, to assign a separate Priority Area to the Arctic and cold regions to reflect their distinctive character and importance in Canadian research. For example, Arctic field research is relatively expensive, requires long term investments and is logistically complex compared to field research at lower latitudes. A separate Research Priority Area also reflects current funding mechanisms such as NSERC's Northern Research Supplement program. Areas covered by the Canadian ARR community include local measurements in the Arctic and cold regions; ground-based and space-based remote sensing of the Arctic atmosphere, snow, sea ice, and land ice; and modelling activities focused on Arctic and cold regions in the areas of weather, climate, air quality [e.g. stratosphere-troposphere circulation and ozone, and coupling to the Arctic land surface and marine environment (ocean, snow/ice, soil and vegetation ecosystems)].

**Regional Climate and Hydroclimate:** Research on regional climate and hydroclimate change and variability, including extremes and anticipated responses of high impact weather and hydrological systems to climate change, for inland, coastal and offshore regions.

This Research Priority Area for Partnership reflects Canada's leadership in the area of hydroclimatic and environmental prediction on regional scales up to the continental (synoptic) scale. This involves ongoing regional model development and application activities in Canada; research on understanding past and present drivers and mechanisms of high impact weather events and climate/hydroclimate extremes (droughts, floods); and related work on climate change impacts for industry and communities, e.g. the impacts of ocean acidification on ocean shelves and aquaculture. This Research Priority Area includes the interplay between regional analysis of global models and regional climate model work, and work in statistical and dynamical downscaling from global to regional scales. In this area there is an important role for field campaigns to validate process representation in models, including studies with a hydrological focus for land surface processes, and with a relatively fine-scale (1km-200km scale) weather process focus for atmospheric modelling. There are a number of emerging research tools useful for, among other applications, improving and testing climate models within this area, some of which include remote sensing from space and geochemical tracer technologies.

Air Quality: Research to improve understanding of controls on air quality.

This Research Priority Area for Partnership encompasses an extensive Canadian research community that conducts research into atmospheric composition and its relationship with management of air quality, with global climate change, with atmospheric visibility, with chemical production and loss that affect the flux of ultraviolet radiation, with atmospheric oxidation that breaks down pollution, as well as with human and ecosystem health. This research priority includes the complex range of processes affecting atmospheric composition, including sources and sinks as well as interactions between trace gases, aerosols, clouds, and climate, from the surface to the middle atmosphere. Work in this area includes laboratory and field-based studies of atmospheric chemistry processes influencing atmospheric composition; land surface (hydrological and vegetation) systems influencing air quality; ground-based measurement networks and space-based remote sensing of parameters related to air quality and biogeochemical cycles (see next); modelling of processes affecting atmospheric composition, climate-chemistry interactions, etc. The area includes research into environmental determinants of health, with integrative connections across public health, economics, and public policy (see Appendix A). Besides linkages between air quality and human health, applied domains in this area include, for example, monitoring of atmospheric emissions arising from resource sector activities and forest fires.

**Atmosphere-related biogeochemical cycles:** Research on carbon, nitrogen, and other biogeochemical cycles.

This Research Priority Area for Partnership represents the multifaceted interconnections of ARR across the Earth system. Research examines how biogeochemical cycles influence atmospheric composition through exchange with the other reservoirs of the Earth system including the biosphere, hydrosphere, lithosphere, and cryosphere, in the past, present and future. Research investigates the natural and anthropogenic sources and sinks of atmospheric carbon, nitrogen, sulfur, and other elements with implications for radiative forcing of climate, for fertilization of the marine and terrestrial biosphere, for cloud formation, and for acid deposition. Research considers the response of terrestrial vegetation (forests, grassland, wetland, etc.) and soil ecosystems to climate, land use, and atmospheric changes, and the feedbacks of these systems to the atmosphere. Research activities include field measurements across a range of environments; satellite and ground-based remote sensing; as well as modeling to test understanding of processes and to represent the state of knowledge needed to forecast future changes. The study of biogeochemical cycling connects the ARR community with other natural science communities across the Earth system.

**Applied Research:** Research to develop practical and/or commercial applications of advances in ARR, including weather, climate, and air-quality forecasting on a broad range of timescales, as well as applied research to address the impact of climate variability and anthropogenic climate change on human health, agriculture, and natural ecosystems on interannual and longer timescales.

The Research Priority Areas for Partnership above have the potential to lead to practical applications centred in the academic, government, and commercial sectors. Acknowledging this, we believe it is important to identify separately a focus on applied research leading to commercial and non-commercial applications. This area is intended to focus academic ARR on relevant domains of applied interest to industry and government over the next 5-7 years. This area includes applications, developed in part or in whole by university researchers, of short term (hourly to a few days), medium range (several days to subseasonal), and seasonal forecasting, as well as multi-year climate prediction and long-term climate

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projections. On shorter timescales, applied research could include weather and air-quality forecasting research for the renewable-energy and the resource extraction sector; for commerce, transportation and aerospace; for weather- and health-related hazards; for the financial sector; and for recreation and tourism. On multi-year to multi-decadal timescales, academic ARR can bring to bear its research on seasonal-to-interannual timescale prediction for resource management, urban and regional planning, forestry, agricultural and health sector planning. This research also extends into long-term efforts in the area of airborne pollutant remediation and climate change adaptation for socio-economic and ecological (aquatic/forest) systems.

# Partnering with other research communities

Fundamental and applied activities in ARR, such as those outlined above, involve a mixture of research carried out entirely by members of the ARR community, as well as research requiring collaboration with other academic, government, and industrial groups within and outside academic ARR (e.g. oceanographers, agriculture and forestry scientists, engineers, economists, municipal and regional planners, etc.). In Appendix A, we elaborate on how the ARRCU community could best interact with other communities at the interfaces of ARR, using feedback received to date from researchers in some of these areas. Ongoing feedback of this kind will be enormously helpful as we undertake the next steps in strategic planning.

# **Strategic Planning through Consultation**

We have defined our community's scope (see Figure 1) and have proposed a list of six Research Priority Areas for Partnership (see Table 1). For university-based ARR to realize the two-way model of "Discovery to Application/Application to Discovery" requires two elements. First, it requires a continued federal commitment to fundamental research support through the NSERC Discovery Grants program. Second, it requires effective partnership with government and industry. A key milestone in our strategic planning is to work with our partners to refine priorities across the sectors of academic, government, and industry (including non-governmental organization) research. A strategic plan that reflects well our partnership would satisfy our overarching goal of ensuring that ARR continue to best benefit all Canadians. It would help motivate suitably targeted funding calls, ensure that efforts to educate and train practitioners are effective, and make good use of data and infrastructure resources from the other sectors. This level of coordination would also help promote Canadian ARR at a high level on the international stage.

The strategic plan we develop will need to establish measures of excellence, relevance, and impact, focusing on contributions that can be made by the academic community across ARR domains. Establishing such measures will require an effort to document our capacity and impact in HQP training, bibliometrics, funded research etc., on an ongoing basis. We will need to appeal to recent examples of successful outcomes in our research partnerships, career tracks of HQP, and international impact of our research, to make a strong case for enhanced and sustained support of academic ARR. We will be able to draw on available strategic planning documents across ARR domains in this effort.

With this motivation we propose to establish (or, in some cases, renew) regular consultations with our partners in government and industry, starting with a series of workshops focused on developing a strategic plan. While the goals of such consultations are not detailed here, their main purpose would be to develop this Strategic Plan that covers, among other areas, the following elements:

- Research Priorities for Partnership over a 5-7 year (medium-term) period, referring to the list of Research Priority Areas for Partnership in Table 1.
- Long-term planning of partnerships models for research support, including federal and provincial government research programs, non-governmental agency programs, industrial research programs, and other funding mechanisms. Many opportunities for improving our interactions were identified at the ARRCU workshop in Montreal in 2015, including reducing administrative barriers to partnership between government and academic scientists, relieving restrictive terms of partnership programs in some funding calls, enhancing the predictability and communication of funding opportunities, recognizing the need for support to maintain and enhance research infrastructure, and revisiting the balance between very large network support and support for small-team research projects. Industrial partners have highlighted the importance of partnership support from NSERC and Mitacs programs, for cost effective development of applications of direct benefit to the Canadian private sector. There was agreement that substantive input into the structure of future partnership programs from the academic ARR community will enhance the effectiveness of these programs.
- Long-term planning of partnership models for academic community use of research infrastructure and data, to ensure that Canadian scientists in all sectors are making the best use of publicly funded research resources with the overarching goal of improving Canada's ARR outcomes and capacity to the benefit of all Canadians. Areas of improvement identified in our consultations include ensuring that Canadian academic and government scientists have suitable online access to data housed within each other's research centres (enabled by easy to use online analysis tools), and that research infrastructure resources are coordinated effectively across the academic and government sectors.
- Medium and long term planning for education and training, to ensure that HQP in Canadian
  universities are being provided suitable education to succeed professionally in Canadian and
  international ARR and in related domains. This theme of education and training will be taken up in
  the next section.

### **Education, Training, Communications, and Outreach**

About a quarter of the meeting time in our consultation workshops in 2014 and 2015 was devoted to discussions of the unique role of university-based ARR in education and training, as well as communication of our science and outreach to the public. A better organized academic ARR community could help coordinate these professional aspects in partnership with government and industry. It is clear that additional discussion of this critical area is required and several opportunities for specific improvements to Canada's approach were identified. We outline some of the many good ideas that have been shared to this point, and propose to hold a separate consultation in these areas (see our recommendations below).

It has become clear that educational and training models for practitioners in weather forecasting, atmospheric science, and other ARR fields require renewal in the context of evolving pedagogical approaches, technology, and required skills for applied and fundamental research. There remains a need for classical core training in ARR science, technology, engineering and mathematics (STEM) foundations (applied mathematics, physics, chemistry, meteorology, oceanography, atmospheric chemistry, climatology, etc.). Such education allows students to, for example, develop intuition using simple models and examples to understand the applied mathematics, physics, and chemistry incorporated in state-of-the-art models and observational systems. Advanced training in dynamical and other process fundamentals is required to build well-qualified research teams. But new approaches to disseminating

this knowledge and more targeted forms of the curriculum should be considered. For example, at the 2015 workshop it was suggested that the ARRCU community could develop and promote online courses [following the UCAR Cooperative Operational Meteorological and Educational Training (COMET) model] to take advantage of expertise that is dispersed across different Canadian universities. A need to review the current offerings for professional meteorology and other ARR programs in light of current hiring by government departments was raised. For example, the role of the traditional meteorological forecaster at a desk is being transformed into that of a professional called on to provide client-tailored products in a wide range of settings. To support this, a need for ongoing updates to training to help students and researchers keep up with rapid advances in data analytics, high performance and cloud computing, citizen science and crowd-data sourcing has been identified. In addition, more practical training is required that is directly oriented towards the needs of the commercial sector, for example in areas of airquality assessment, insurance, etc.

A recurring theme of our consultation has been that of communication and collaborative team-work skills. Regarding communications training, graduates from ARR discipline programs, as well as graduate students and postdoctoral researchers, will encounter greater professional success if they round out their analytical and computational competencies with strong communication skills. Such skills are also required to work in large-team projects that are increasingly common in our research and in industry.

But more broadly, we have heard from our partners that the ARRCU community needs to improve its communication and engagement with the public. To make the case for the continued relevance of ARR we will need to articulate our goals and priorities much more clearly to all audiences. These audiences include the general public, the media, colleagues outside ARR, university administrators and funding agencies, and our own students. We need to be more engaged with Canada's media, taking advantage of our academic freedom to spark discussion on societally relevant issues related to weather, climate, and air quality research. We need to communicate our great work to prospective undergraduate and graduate students, who often know little about the rewarding range of careers possible in ARR. We need to better engage university administrations and funding agencies and governing bodies in science at the highest possible level, to better ensure coordination by the universities across the disciplinary areas represented in ARR (the C-CORU initiative provides a possible model for such an approach). In these efforts to bridge the communication gap, we should consider better engaging the social science community, leveraging that community's communication capacity to maximize the results of ARR that can directly benefit the public (see also Appendix A).

# Conclusion: Academic Atmosphere-Related Research in a Changing Environment

This White Paper has identified a unique academic community of Canadian university researchers in the broad geoscience domain of ARR, which involves fundamental and applied research in weather, climate, and air quality. It has clarified the scope of our activities, and our connection to government- and industry-based ARR in Canada and internationally. We have also drafted an initial list of Research Priority Areas for Partnership that will provide a basis for subsequent planning with our partners in government and industry.

ARR in Canada would greatly benefit from coordinated planning that would include regular consultations and reviews of our research and educational activities. The 5-7 year window for planning would provide more predictability for this research but still permit us to respond to new challenges in a timely way. Such challenges could include, for example, the occurrence of an abrupt change in climate and related

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impacts, major technology changes or opportunities affecting the field, sudden fiscal challenges to the community, or the need for Canadian academic input into international environmental and climate assessment or regulatory protocols.

We conclude with some specific short-term actions and recommendations:

- Faculty in Canadian universities involved in ARR are encouraged to lend support to this White Paper by becoming signatories, by adding their names and affiliations to Table 2.
- This White Paper will be disseminated for comment to government departments in ARR, university administrations, industrial and commercial organizations engaged in using ARR, and NSERC.
- The ARRCU community seeks to partner with industry and government to develop a 5-7 year strategic plan for ARR. To support this, we propose and will be seeking funding support for a series of meetings in 2016-2017: 1) a meeting focused on academic-government partnership in ARR; 2) a meeting focused on academic-industry partnership; 3) a meeting focused on education, training of highly qualified personnel, communications, and outreach in the ARR domains.
- As some current planning for ARR is currently ongoing, it is important that representatives of academic ARR be involved in coming discussions, particularly for NSERC Partnership program planning.
- Because ARRCU scientists can be found in many disciplines and across many university departments, we will promote this White Paper to various learned societies in Canada [including the Canadian Meteorological and Oceanographic Society (CMOS), the Canadian Geophysical Union (CGU), the Canadian Society for Chemistry (CSC), the Canadian Association of Geographers (CAG), the Canadian Association of Physicists (CAP), the Canadian Mathematical Society (CMS), the Canadian Aeronautics and Space Institute (CASI), etc.] and internationally [the American Meteorological Society (AMS), the American Geophysical Union (AGU), the European Geophysical Union (EGU), etc.].

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## **Appendix A: Interactions with Other Research Communities**

This document attempts to balance the need to define the scope of ARRCU and to include the broad community connected with ARRCU. We elaborate on how the ARRCU community could best interact with other research communities at the interfaces of ARR.

• **Oceanographic research community:** Many of the scientific problems identified in this document relate to the coupled ocean-atmosphere climate system, its natural process, its predictability and

- variability. There is a strong need for our strategic planning and scientific ideas to integrate the oceanographic research community in several of the research areas we have discussed. This needs to take place in the context of exisiting organizational structures in Canadian marine science research such organizations as CMOS, the Canadian National Committee for the Scientific Committee on Ocean Research (CNC-SCOR), and the C-CORU initiative.
- **Space research community:** The upper atmosphere (mesosphere/thermosphere/ionosphere) is related to research in space weather, influence of the Sun on Earth's upper atmosphere and climate, upper atmosphere influence on climate, and cosmic ray impacts on atmospheric chemical and physical processes. Spinoff applications of these areas of research involve planning logistics for space missions, navigation through GPS positioning, and understanding solar influences on climate. The activities of this community have fallen within the domain of the Division of Atmospheric and Space Physics (DASP) of the Canadian Association of Physicists, and several areas of partnership were identified in our consultation.
- **Social science communities:** ARRCU has the opportunity to expand beyond the boundaries of the natural sciences and include social sciences in a closely collaborative and integrative structure. For example, inclusion of indigenous knowledge through increased collaboration with northern research groups (northern research/field stations, aboriginal organizations, hunters and trappers associations) would benefit Arctic ARR, especially in areas of resource extraction, transportation, and renewable energy. The strength of working with social scientists is also evident in their ability to bring science to societally relevant setting, and to act as effective and informed communicators of the science to the broader public (see *Education, Training, and Outreach* section).
- Health and related human-environmental interaction communities (health and forestry community examples): ARRCU needs to also consider research at the interface of ARR, human activity and the environment. For example, it could broaden its treatment of the connections between air quality and public health, public policy, and related issues in economics. Exploration could be undertaken regarding the mechanisms underlying health impacts from pollution (e.g. ties between atmospheric processes, genetic vulnerability, pathophysiological responses, and chronic disease). As another example, the forestry community, in particular in fire-management related areas, depend crucially on ARR. Seasonal climate prediction and long-term climate projection are of vital importance to evaluate strategies for dealing with specific fires, seasonal fire management, and strategic long term (100 years) forest planning. Coupled physical processes, including coupling to the carbon cycle and climate feedbacks, are also key concerns. Many other environmental research communities (e.g. in aquatic ecosystems) have connections with ARR that could be further developed.
- Computer science and informatics community: ARRCU would benefit from addressing the informatics challenges involved in current ARR, beyond some of the education and training issues discussed in the document. Computational models and datasets play a core role in the ARR community as a focal point for cross-disciplinary collaboration. The success of such collaboration can hinge on the ability of the ARR community to make datasets and computational models self-describing, so that cross-disciplinary scientists can make valid inferences from them. This requires improved practice in data integration to working with data from multiple sources. The field is also limited in capitalizing on recent advances in machine learning, data mining, and automation of tasks in the area of weather forecasting.

### **Appendix B: Signatories to this White Paper**

# ARRCU WG White Paper. August 22, 2016

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